

1 **Earth Atmospheric Land Surface Temperature**  
2 **and Station Quality in the Contiguous United**  
3 **States**

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## Abstract

23

24 A recent analysis organized by A. Watts concluded that 70% of the USHCN temperature  
25 stations are ranked CRN classification 4 or 5, with nominal temperature uncertainties up  
26 to 2C or 5C, respectively. These uncertainties are large compared to those in analyses of  
27 global temperature change, which estimate warming of  $0.64 \pm 0.13$  C over the period  
28 1956 to 2005. This “quality problem” suggests that instruments used to measure  
29 temperature may be inadequate for accurate estimates of temperature trends. This issue  
30 was studied by Fall et al. (2011); here we present an independent analysis using the same  
31 classifications but different analysis techniques. A histogram study of the temperature  
32 trends in groupings of stations in the NOAA categories shows no statistically significant  
33 disparity between stations ranked “OK” (CRN 1, 2, 3) and stations ranked as “Poor”  
34 (CRN 4, 5). The histogram analysis suffers from uneven sampling of the US land area,  
35 but it illustrates important properties of the data. A more detailed temperature  
36 reconstruction performed using the Berkeley Earth analysis method indicates that the  
37 difference in temperature change rate between Poor and OK stations is not statistically  
38 significant at the 95% confidence level. The absence of a statistically significant  
39 difference indicates that these networks of stations can reliably discern temperature  
40 trends even when individual stations have nominally poor quality rankings.

## 41 **Introduction**

42 Three major organizations assemble world temperature measurements, keep historical  
43 records, and regularly update their data sets and estimates of the global average  
44 temperature. These are the National Oceanographic and Atmospheric Administration  
45 (NOAA; Smith, et al., 2008), the NASA Goddard Institute for Space Science (GISS;  
46 Hansen et al., 2010), and the UK Met Office collaboration with the Climate Research  
47 Unit of the University of East Anglia (Hadley/CRU; Brohan et al.,2006). The three  
48 organizations use different analytic approaches, and different subsets of the available  
49 temperature records, although there is much overlap. Their analyses play a key role in the  
50 estimates of the degree of global warming.

51 Recently the integrity of the U.S. temperature data has been called into question  
52 by a team founded by Anthony Watts (Watts, 2009; Fall et al.,2011). They surveyed an  
53 82.5% subset of the 1218 USHCN (U.S. Historical Climatology Network) temperature  
54 stations. The survey ranked all stations according to a classification scheme for  
55 temperature originally developed by Leroy (1999), and adapted by NOAA (2002),  
56 generally referred to as the CRN (Climate Reference Network) classification. These  
57 rankings were based on physical attributes of the temperature sites, as follows:

58 CRN 1 – Flat and horizontal ground surrounded by a clear surface with a slope  
59 below 1/3 (<19 degrees). Grass/low vegetation ground cover <10 centimeters high.  
60 Sensors located at least 100 meters from artificial heating or reflecting surfaces, such as  
61 buildings, concrete surfaces, and parking lots. Far from large bodies of water, except if it  
62 is representative of the area, and then located at least 100 meters away. No shading when  
63 the sun elevation >3 degrees.

64 CRN 2 – Same as Class 1 with the following differences. Surrounding Vegetation  
65 < 25 centimeters high. No artificial heating sources within 30m. No shading for a sun  
66 elevation >5 degrees.

67 CRN 3 (estimated error 1 C) – Same as Class 2, except no artificial heating  
68 sources within 10 meters.

69 CRN 4 (estimated error  $\geq 2$  C) – Artificial heating sources < 10 meters

70 CRN 5 (estimated error  $\geq 5$  C) – Temperature sensor located next to/above an  
71 artificial heating source, such a building, roof top, parking lot, or concrete surface. The  
72 Fall et al. (2011) rankings are available at [www. surfacestations.org](http://www.surfacestations.org)

73 A map showing the distribution of the ranked stations is shown in Figure 1, with  
74 blue for the good stations, ranked class 1 or 2, green for stations ranked 3, and red for the  
75 poor stations (ranked 4 or 5).

76 The survey by Fall et al. (2011) shows that 70% of the USHCN temperature  
77 stations are ranked in NOAA classification 4 or 5. NOAA associates nominal temperature  
78 uncertainties greater than 2C or 5C, respectively for these stations. Such uncertainties are  
79 large compared to the analyses of global warming, which estimate the warming of  $0.64 \pm$   
80  $0.13$  C over the period 1956 to 2005. The quality problem suggests that the network of  
81 UHSCN temperature stations used to measure the warming may not yield a meaningful  
82 result for temperature change. Fall et al. (2011) concluded that poor sites yield an  
83 overestimate of trends in the minimum temperatures recorded, and to an underestimate of  
84 trends in the maximum temperatures recorded. However, they also concluded that the  
85 *mean* temperature trends are nearly identical across site classifications, and estimated that  
86 the mean trend was 0.32 C per decade for the period 1979 to 2008. They conclude that

87 station exposure does impact the measured temperatures; temperature biases are positive  
88 and are largest for the stations with the worst siting characteristics

89 A study (Menne et al. 2010) based on an earlier and only partial and preliminary  
90 release of the (Fall et al. 2000) survey, concluded that the poor siting for stations ranked  
91 3,4,5 showed no evidence of increased temperature trends compared to the trends of the  
92 good (rank 1,2) stations.

93 . The estimated errors are qualitative, and no careful study has been published in  
94 the refereed literature to indicate their origin. Thus we must be cautious about using  
95 these numbers for uncertainty estimates on quantities such as temperature changes. The  
96 Fall et al. classification provides an excellent base for the study station quality  
97 systematics. In this study, we use the station classifications to estimate the extent to  
98 which station quality affects the results of the Berkeley Earth analysis methods.

99 In this paper, we study the impact of station ranking on the contiguous US  
100 average temperature. We analyze the temperature trends for a variety of groupings of the  
101 station rankings starting with the unadjusted unhomogenized average temperature data  
102 for each site. Two approaches are presented. In our first, we get a sense of the spread of  
103 the station data and its variation according to site categorization by examining histograms  
104 of temperature trends. While indicating much about the data, this method does not yield  
105 an average temperature for the US. A robust temperature analysis must, unlike the  
106 histogram approach, make adjustments for the locations of stations. In the next section,  
107 we construct a complete temperature record for the Fall et al. sites using the Berkeley  
108 Earth methodology (Rohde et al. 2012). We find that using what we term as OK stations

109 (rankings 1, 2 and 3) does not yield a statistically meaningful difference in trend from  
110 using the poor stations (rankings 4 and 5).

## 111 **Slope Analysis**

112 We begin with a very simple slope approach that provides insight into the nature  
113 of the data. Fall et al. (2011) ranked 1024 sites (available at [surfacestations.org/  
114 fall\\_etal\\_2011.htm](http://surfacestations.org/fall_etal_2011.htm)), with station id in U.S. Historical Climatology Network Version 2  
115 (USHCNV2). Of these, there were 13 Climate Reference Network (CRN) Class 1 sites,  
116 65 Class 2 sites, 221 Class 3 sites, 627 Class 4 sites, and 64 Class sites. For each of  
117 these classes, we found the corresponding stations in the USHCNV2, and, starting with  
118 the raw temperature data from each site performed a least-squares fit of the data to a  
119 straight line. The slopes of these lines, referred to herein as the trends, are plotted for  
120 each station ranking in the histograms in Figure 2. The mean values of the slopes, the  
121 error of the mean (estimated here as for standard Gaussian distributions as the RMS  
122 spread divided by square root of the sample size) and the RMS widths are noted in each  
123 histogram.

124 One immediate observation is that for all categories except CRN rank 5, about 1/3  
125 of the sites have negative temperature trends, that is, cooling over the duration of their  
126 record. Roughly 20% of the rank 5 stations exhibit cooling. The width of the histograms  
127 is due to local fluctuations (weather), random measurement error, and microclimate  
128 effects. A similar phenomenon was noted for all U.S. sites with records longer than 70  
129 years in the study by Wickham et al. (2012). We have also verified that about 1/3 of the  
130 world sites have negative slope.

131 We emphasize that this slope analysis is qualitative only. It does not take into  
132 account the geographic distribution of the sites or different record lengths and time  
133 intervals covered. However, the slope analysis provides insights into the nature of the  
134 data. In particular, it shows that the rate of temperature change for CRN rankings 1-5 are  
135 similar but not identical to within their standard error. It also shows that the spread in  
136 slopes for each ranking is larger than the mean slope of all stations with that ranking.

137 In order to reduce the statistical uncertainty in the slope analysis, we calculated  
138 the slope distributions for combined ranks. In Figure 3 we plot the corresponding  
139 histograms.

140 The difference between the “poor” (4+5) sites and the “OK” (1+2+3) sites is  $0.09$   
141  $\pm 0.07$  °C per century. We also tried other groupings; the difference between the (3+4+5)  
142 grouping and the “good” (1+2) sites is  $-0.04 \pm 0.10$  °C per century, i.e. the other sites are  
143 warming at a slower rate than are the good sites, although the effect is not larger than the  
144 statistical uncertainty. There is no evidence that the poor sites show a greater warming  
145 trend than do the OK sites.

146

## 147 **Berkeley Earth Temperature Analysis**

148 While the slope analysis provides insights into the data, it does not allow for a  
149 statistically robust comparison of the average temperature trends across various site  
150 classifications. We performed such a comparison using the Berkeley Earth temperature  
151 analysis methodology, developed by the Berkeley Earth group. Details of this analysis are  
152 available in Rohde et al. (2012).

153           The Berkeley Earth analysis reconstructs the mean temperature history of the  
154 United States (or any other land region) by detrending and effectively homogenizing the  
155 raw data and then employing an iteratively reweighted least squares method with  
156 appropriate geographical masks. It incorporates weights to take into account the  
157 reliability of the stations, and uses the Kriging statistical method to adjust for non-  
158 uniform distribution of stations in an optimal way. For the weights we did not use the  
159 station rankings, but instead used estimates of the RMS variation of each temperature  
160 station. The station ranking does not directly change any aspect of the analysis other than  
161 the choice of individual stations that are used in the construction of a mean US  
162 temperature record.

163           The Berkeley Earth methodology for temperature reconstruction method is used  
164 to study the combined groups OK (1+2+3) and poor (4+5). It might be argued that group  
165 3 should not have been used in the OK group; this was not done, for example, in the  
166 analysis of Fall et al. (2011). However, we note from the histogram analysis shown in  
167 Figure 2 that group 3 actually has the lowest rate of temperature rise of any of the 5  
168 groups. When added to the in “poor” group to make the group that consists of categories  
169 3+4+5, it lowers the estimated rate of temperature rise, and thus it would result in an even  
170 lower level of potential station quality heat bias. We also note that the only difference  
171 between the definitions of rankings 2 and 3 is the distance to a heat source; in rank 2 it is  
172 30 meters and in rank 3 it is 10 meters. It is plausible that 10 meters is sufficient to keep  
173 potential bias low and in order to increase the potential for observing a difference in  
174 temperature rise.

175           The results of our Berkeley Earth temperature analysis are shown in Figure 4.  
176 Figure 4 shows the temperature anomalies for both the OK (ranked 1,2,3) and the Poor  
177 stations (ranked 4 or 5). The anomaly is defined such that the average temperature in the  
178 period 1950 to 1980 is zero for both curves; we use the anomaly (as do the other  
179 temperature analysis groups) because the absolute temperature is much more difficult to  
180 obtain, and our main interest in this paper is the rate of change of temperature rather than  
181 absolute temperature. Although the curves are plotted separately in Fig. 4, they track  
182 each other so closely that differences between them is statistically small. It is more  
183 instructive to subtract the anomaly found with poor (4+5) station data from the anomaly  
184 found with OK (1+2+3) station data, as seen in Fig. 5. The RMS width of this difference  
185 curve in Fig. 5 is 0.06C. When the difference is fit to a straight line, the slope is  $-0.06 \pm$   
186  $0.01$ (95% confidence) degrees Celsius *per century*.

187           There are sensible objections to picking a start date of 1900 for the comparison of  
188 trends. For example, the current classification of stations may not hold many decades in  
189 the past due to changes in the local site environment (construction, growth of trees,  
190 installation of nearby air conditioners, etc.). Thus, while the station ranking gives a sense  
191 of current station quality, this ranking may well—perhaps likely was--invalid at an earlier  
192 date. Without reliable station quality information over the period of 1900 to the present,  
193 we opted to vary the start time from 1900 to 1980 and calculated the corresponding  
194 trends in temperature change (keeping the end year held fixed at 2009). These results are  
195 summarized in Fig. 6, where we plot the linear slope of the temperature anomaly for Poor  
196 and OK stations as a function of start year. During the first decades, until ~1950, there is  
197 very little difference between the temperature trends of the two station groups. The

198 difference increases as the start year moves to 1980, with the OK stations exhibiting a  
199 slightly higher warming trend. The gray bands in Fig. 5 show the one-standard deviation  
200 errors in the trend estimate. The central slope of each station group is (barely) included  
201 in the 95% confidence interval (not plotted, but approximately twice the width of the grey  
202 bands) of the other group, but as can be seen, at the one-sigma level they do differ. We  
203 note that the separation between the trends was smaller when earlier start times are  
204 considered. A possible explanation is that the main systematic effects of poor siting on  
205 the temperature trends take place when micro-siting conditions change, such as when a  
206 structure is built near an existing station, when a tree grows nearby, or when an  
207 instrument changes and these changes only occurred in the more recent half-century.

208 Our analysis was done using only US land stations; it indicates that the poor  
209 rankings of station quality documented by Fall et al. (2011) should not significantly bias  
210 estimates of global warming, and to the extent relying only on CRN poor (4+5) ranked  
211 stations would make a difference, mean warming trends estimated from 1960 onwards  
212 would be very slightly underestimated in the US from what would be obtained relying  
213 only on CRN OK (123) stations. We note that the sign of this effect is opposite to what  
214 naively might have been expected; that is, it seems intuitive that poor stations would  
215 show more warming than the OK stations. However the small difference observed is not  
216 statistically significant at the 95% confidence interval.

217

## 218 **Conclusions**

219 Based on both slope analysis and on temperature record reconstruction for the  
220 contiguous United States, using the temperature evaluations of Fall et al. (2011), we

221 conclude that station quality in the contiguous United States does not unduly bias the  
222 Berkeley Earth estimates of contiguous land surface average monthly temperature trends.  
223 No similar study is possible for the rest of the world because we do not have  
224 corresponding indicators of good/poor station quality. Our results are similar to those of  
225 Fall et al., but they are based on a different form of analysis; they indicate that the  
226 absence of a station quality bias is a robust conclusion that is true not only for the kind of  
227 analysis done by Fall et al. but also for the methods that we used.

228         Fall et al. (2011) also investigated trends the diurnal temperature range; we made  
229 no study of the diurnal trends. Our work is based on the average monthly temperatures  
230 recorded at each site, not on the maxima and minima. We chose these values because  
231 they are used by NOAA, NASA, and HadCRU for their estimates of temperature trends.  
232 Our methodology differs from that used in Fall et al. (2011) and we examined trends over  
233 a range of time intervals. Our conclusions agree earlier work, in that we do not observe a  
234 significant bias in average temperature trends arising from station quality in the  
235 contiguous United States.

236

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239 of the Novim Group ([www.Novim.org](http://www.Novim.org)). We thank Anthony Watts for giving us the  
240 rankings of the USHCN sites prior to publication. We thank David Brillinger for  
241 important guidance in statistical analysis. We thank many organizations for their support,  
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245 Koch Charitable Foundation, and three private individuals (M.D., N.G. and M.D.). We  
246 thank Zeke Hausfather and Steven Mosher for numerous helpful discussions. More  
247 information on the Berkeley Earth project can be found at [www.BerkeleyEarth.org](http://www.BerkeleyEarth.org).

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## 297 **Figure Captions**

298 FIG. 1. Ranking of stations by Fall et al. (2011). Blue stations are the “good” stations  
299 with rank 1 and 2; green stations are borderline stations with rank 3; red stations are  
300 “poor” stations with rank 4 and 5.

301

302 FIG. 2. Histograms of temperature trends for each of the 5 categories of station quality,  
303 and for all stations compiled and ranked by Fall et al. (2011). The vertical dashed lines  
304 indicate the mean temperature trend for each plot.

305

306 FIG. 3. Histograms of temperature trends for combined rankings.

307

308 FIG. 4. Temperature estimates for the United States, based on the classification of station  
309 quality of Fall et al. (2011) of the USHCN temperature stations, using the Berkeley Earth  
310 temperature reconstruction method described in Rohde et al. (2011).

311

312 FIG. 5. The average temperature estimates for the poor (45) and OK (123) stations are  
313 subtracted and the difference is fit to straight line. The slope of the linear fit is  $7 \times 10^{-4}$   
314 C/century. There is no significant trend average temperature difference between these  
315 ranking-sets.

316 FIG. 6. The trend estimates with 95% confidence limits (using the FIT routine from  
317 MATLAB) for poor (45) and OK (123) stations are subtracted and the difference is fit to  
318 straight line. There is no significant trend average temperature difference between these

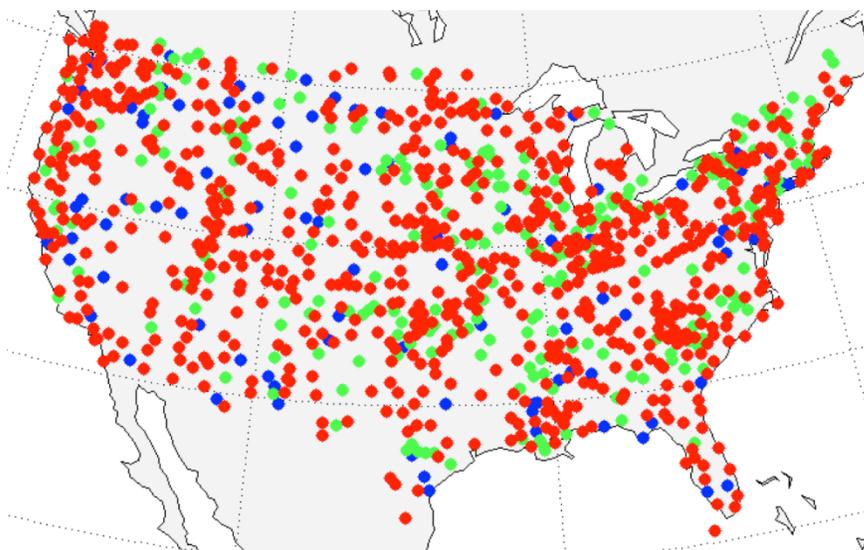
319 ranking-sets independent of the start year for which the trend is calculated. The end year  
320 for all trend calculations was 2009.

321

## 322 **Figures**

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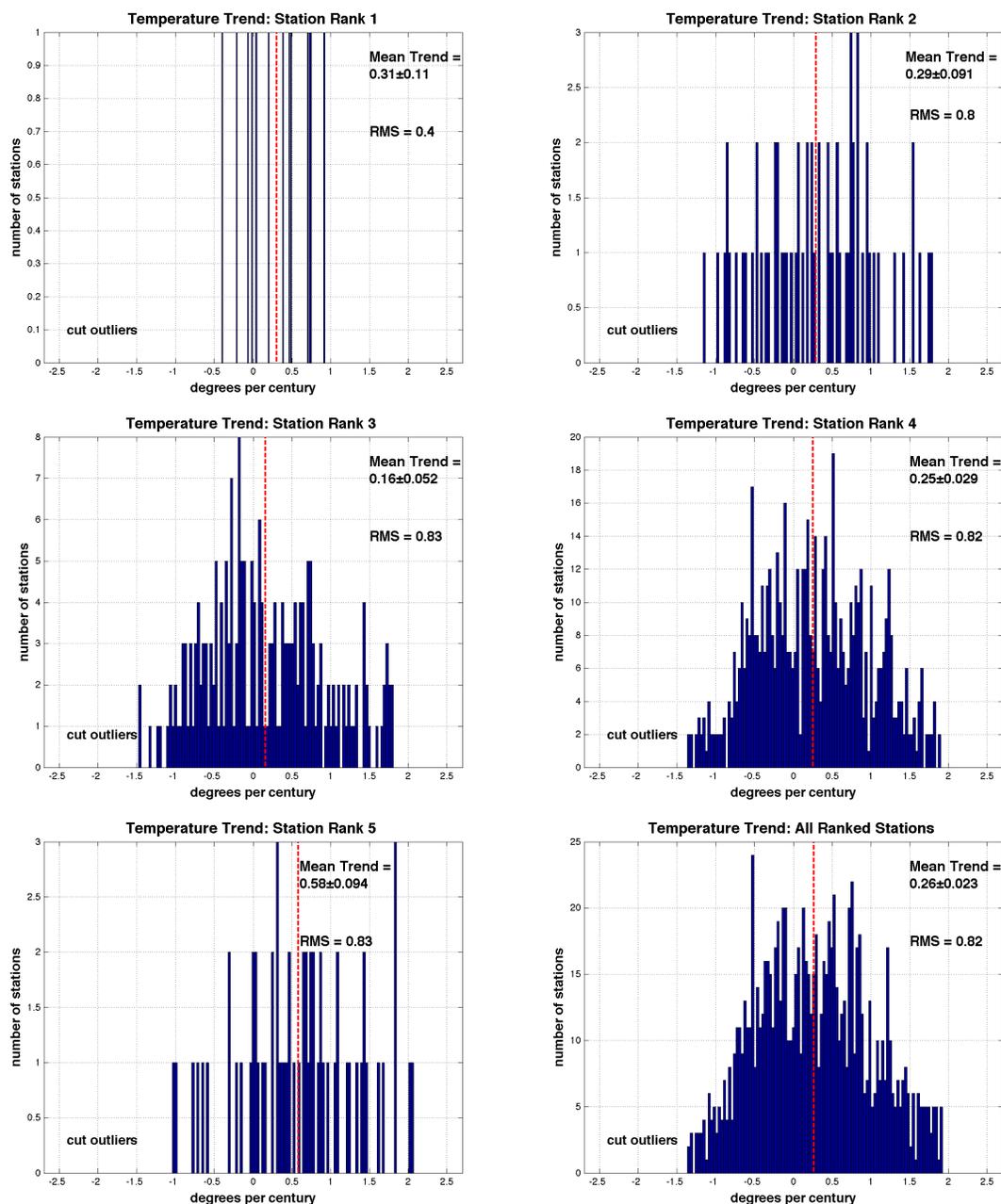
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326

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328 with rank 1 and 2; green stations are borderline stations with rank 3; red stations are  
329 “poor” stations with rank 4 and 5

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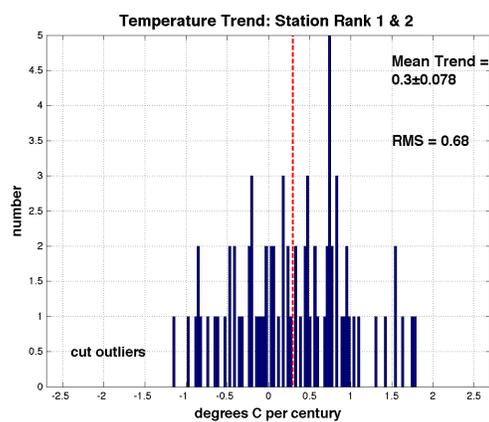
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335 FIG. 2. Histograms of temperature trends for each of the 5 categories of station quality,  
 336 and for all stations compiled ranked by Fall et al. (2011). The vertical dashed lines  
 337 indicate the mean temperature trend for each plot. The mean trend, the error in the trend,  
 338 and the RMS spread are noted in each histogram.

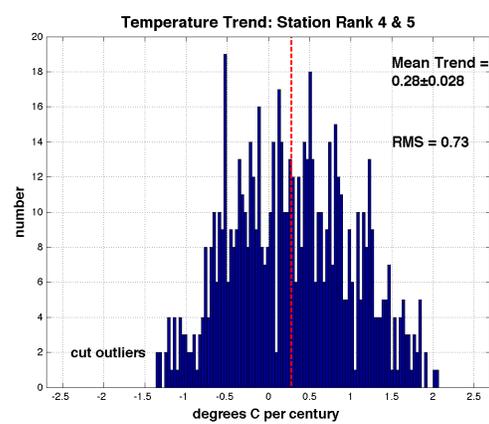
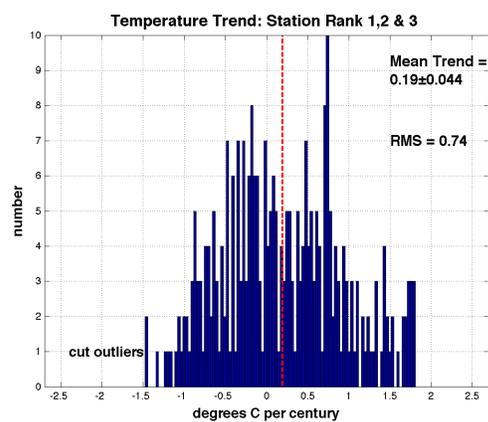
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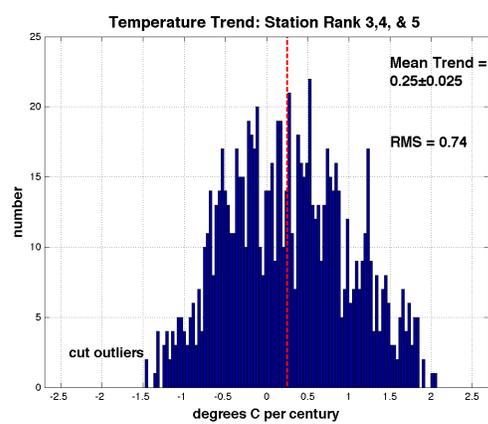
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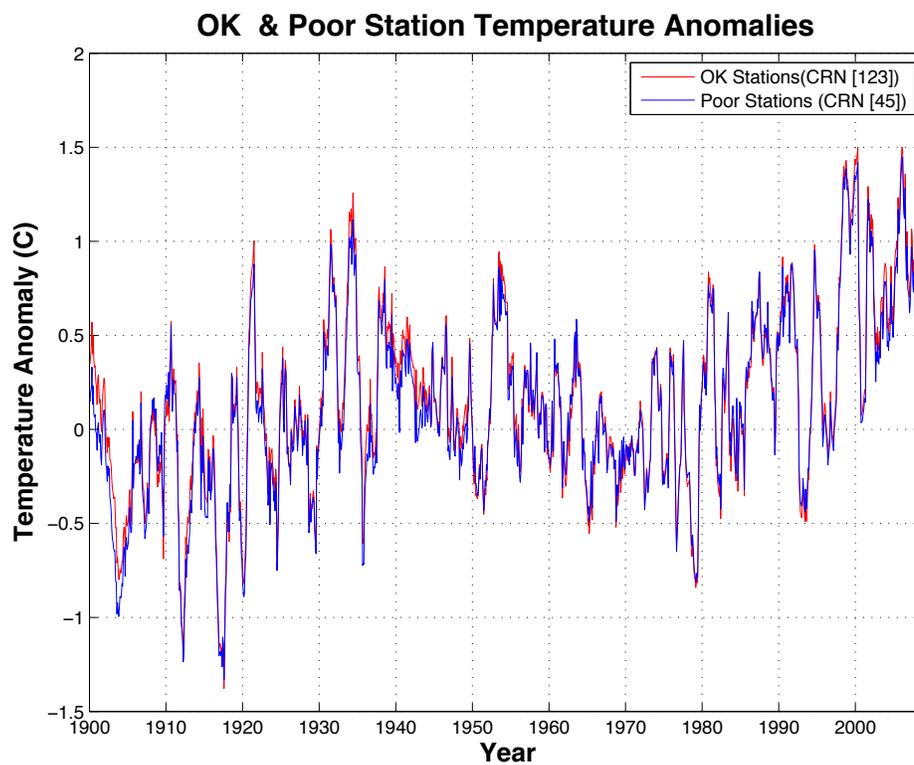


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345 FIG. 3. Histograms of temperature trends for combined rankings.

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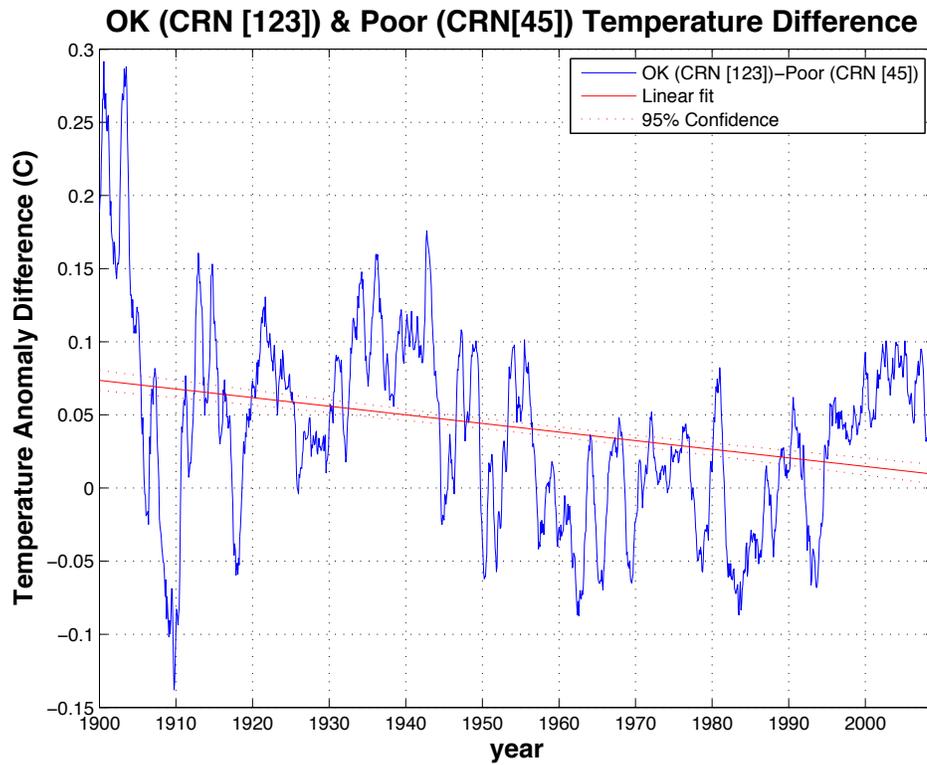


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350 FIG. 4. Temperature estimates for the contiguous United States, based on the  
351 classification of station quality of Fall et al. (2011) of the USHCN temperature stations,  
352 using the Berkeley Earth temperature reconstruction method described in Rohde et al.  
353 (2011). The stations ranked CRN 1, 2 or 3 are plotted in red and the poor stations (ranked  
354 4 or 5) are plotted in blue.

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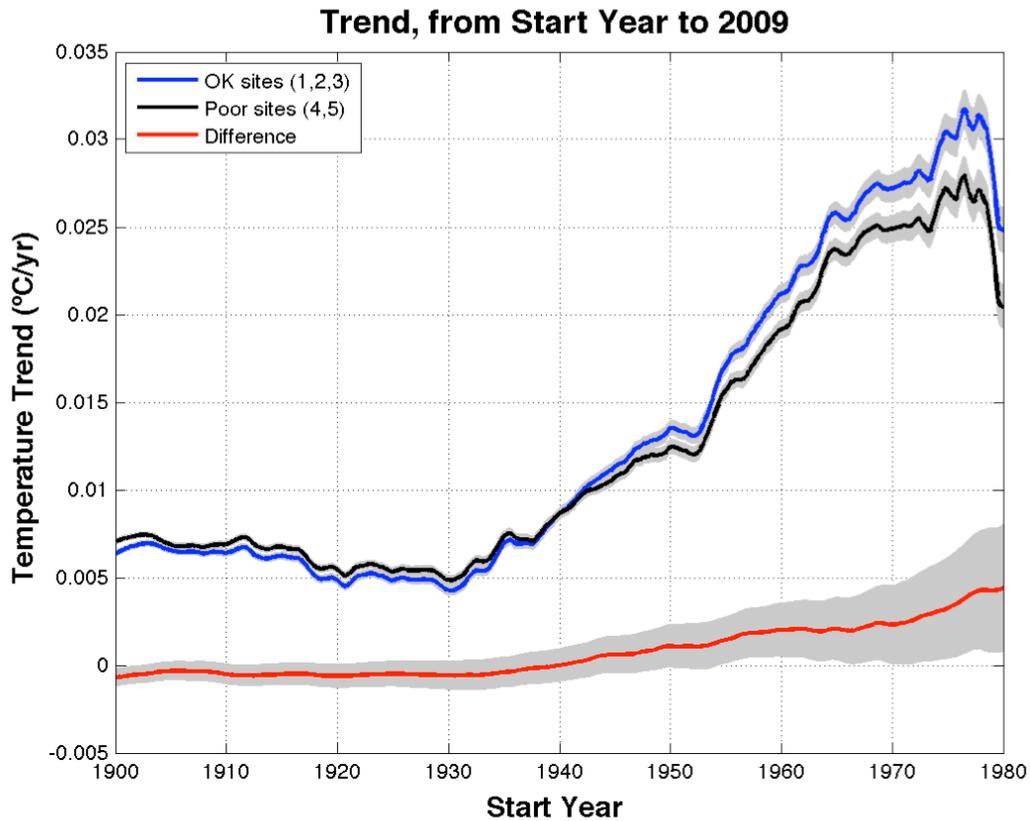


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357

358 FIG. 5 The average temperature estimates for the CRN (45) and CRN (123) stations are  
359 subtracted and the difference is fit to straight line (slope=06C/century).

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FIG. 6. The trend estimates (using the FIT routine from MATLAB) for station quality rankings Poor (CRN 4,5) and OK (CRN 1,2,3) plotted as a function of start date. The end date for all trend calculations was 2009. The 1-sigma RMS error estimate corresponds to the gray bands. The 95% confidence intervals from each set (not plotted) overlap with the mean trend for the other set.

370